

01-08-04

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HDP/SB/21 based on PTO/SB/21 (08-00)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/040,930
Filing Date	October 24, 2001
First Named Inventor	Hisanori KAWAKAMI
Group Art Unit	2875
Examiner Name	Alan B. CARIASO
Attorney Docket Number	9319S-000285

Total Number of Pages in This Submission

**ENCLOSURES (check all that apply)**

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/  
Incomplete Application
- ☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

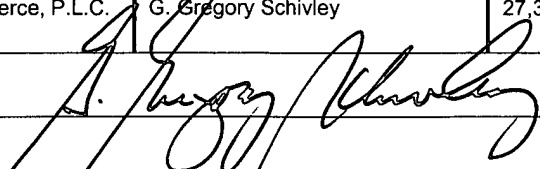
- ☐ Assignment Papers  
(for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a  
Provisional Application
- ☐ Power of Attorney, Revocation  
Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☒ After Allowance Communication to  
Group
- ☐ Appeal Communication to Board of  
Appeals and Interferences
- ☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s)  
(please identify below):

**Make of Record Letter and  
return post card**

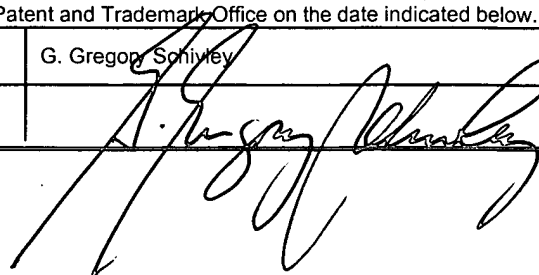
Remarks

**The Commissioner is hereby authorized to charge any  
additional fees that may be required under 37 CFR 1.16 or 1.17  
to Deposit Account No. 08-0750. A duplicate copy of this  
sheet is enclosed.****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name G. Gregory Schivley	Reg. No. 27,382
Signature			
Date	January 7, 2004		

**CERTIFICATE OF MAILING/TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

Typed or printed name	G. Gregory Schivley	Express Mail Label No.	EV 406 075 731 US
Signature		Date	January 7, 2004

EV 406 075 731 US



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Notice of Allowance Mailed:     October 7, 2003**

Application No.:     10/040,930  
Filing Date:         October 24, 2001

Applicant:            Hisanori KAWAKAMI

Group Art Unit:     2875  
Examiner:            Alan B. CARIASO

Title:                  ILLUMINATION DEVICE AND LIQUID CRYSTAL  
                         APPARATUS USING THE SAME

Attorney Docket:    9319S-000285

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Director of the United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

**MAKE OF RECORD LETTER**

Sir:

The issue fee for the above-identified allowed application was forwarded to the U.S. PTO on December 1, 2003. Please make the information set forth on the enclosed Form 1449 of record in this file. CN1158432A and GB2165631A were cited in a search report for a corresponding Chinese patent application. EP 0 717 236A2 corresponds to CN 1158432A. Applicant makes no admission that the disclosures in these documents affect the presumption of validity for the claims in this issued patent. Applicant also believes the documents are not critical to the patentability of this allowed patent application.

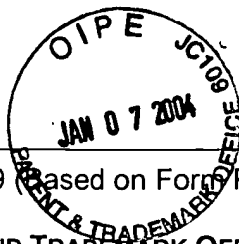
Respectfully submitted,

Dated: January 7, 2004

By: 

G. Gregory Schivley  
Reg. No. 27,382

HARNESS, DICKEY & PIERCE, P.L.C.  
P.O. Box 828  
Bloomfield Hills, Michigan 48303  
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FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE**  
**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET NO.

SERIAL NO.

9319S-000285

10/040,930

APPLICANT

Hisanori KAWAKAMI

FILING DATE

GROUP

October 24, 2001

2875

**U.S. PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.						

**FOREIGN PATENT DOCUMENTS**

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation * Yes No	
1.		0 717 236	12/14/1995	Europe		X	
2.		2165631A	4/16/1986	Great Britain			X
3.		1158432A	9/3/1997	China			X

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)**

Ref. Desig.	Examiner's Initials	
1.		Communication from Chinese Patent Office re: counterpart application.

\* One or more of the English translation documents submitted herewith may be Abstracts only or partial machine created translations from the Japanese Patent Office. As such, the submitter does not necessarily vouch for their accuracy. Additional information may be obtained from the Japanese Patent Office web site at [www.jpo.go.jp](http://www.jpo.go.jp).

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.